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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application

Inventor(s): DAVID GRAWROCK et al.

SC/Serial No.: 09/934,926

Confirm. No.: 8871

Filed: August 21, 2001

Title: **UNIFIED PERMISSIONS CONTROL FOR
REMOTELY AND LOCALLY STORED FILES
WHOSE INFORMATIONAL CONTENT MAY
BE PROTECTED BY SMART-LOCKING
AND/OR BUBBLE-PROTECTION**

PATENT APPLICATION

Art Unit: 2131

Examiner: Unknown

Customer No. 23910

CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 C.F.R. § 1.10

"Express Mail" mailing label number: EL 467322388US

Date of Mailing: December 27, 2001

I hereby certify that this correspondence is being deposited in the United States Postal Service utilizing the "Express Mail Post Office to Addressee" service addressed to **Box Missing Parts, Commissioner for Patents, Washington, DC 20231** and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

Janet G. Moore _____ (Signature)
Janet G. Moore

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted, dated 10/04/01, enclosed are the following documents in connection with the above-identified application:

- Copy of Notice to File Missing Parts -- Filing Date Granted
- Declaration for Patent Application, signed in counterpart
- Assignment, signed in counterpart and Assignment Recordation Form Cover Sheet
- Power of Attorney
- Statement(s) of small entity status
- Petition for an Extension of Time pursuant to 37 C.F.R. §1.136(a) for responding to the Notice to File Missing Parts



Information Disclosure Statement under 37 C.F.R. §1.56

Preliminary Amendment

Submission of Formal Drawings

Request for Correction of Office Records Regarding Spelling of Inventor's Name Pursuant to MPEP §605.04(b)

Declaration of Cameron W. Cotrill In Support of Request for Correction of Office Records Regarding Spelling of Inventor's Name Pursuant to MPEP §605.04(b)

Petition for Accepting Declaration Signed by Less Than All The Named Inventors Pursuant to 37 C.F.R. §1.47(a)

Declaration by Gideon Gimlan In Support of Petition Pursuant to 37 C.F.R. §1.47(a)

Patent Application Filing Fee

The patent application filing fee (if applicable) is calculated as shown below:

No. Filed	No. Extra	Rate Small Entity/ Large Entity
Basic Fee		\$370.00 \$740.00 = \$
Total Claims <u> </u> ** - 20 = <u> </u> * X		\$ 9.00 \$ 18.00 = \$
Independent Claims <u> </u> ** - 3 = <u> </u> * X		\$ 42.00 \$ 84.00 = \$
First Presentation of Multiple Dependent Claim(s) <u> </u>		\$140.00 \$280.00 = \$
Total PATENT APPLICATION FILING FEE:		\$ _____

* If the difference is less than zero, enter "0".

** Count claims after any amendment.

Total Fee

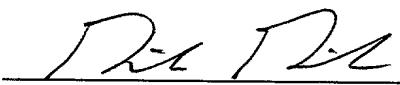
The Total Fee associated with this communication has been calculated as shown below:

—	Patent application filing fee	\$
<input checked="" type="checkbox"/>	Net fee for Petition for Accepting Declaration	\$ 130.00
<input checked="" type="checkbox"/>	Net fee for extension of time	\$ 110.00
<input checked="" type="checkbox"/>	Assignment recording fee (\$40.00)	\$ 40.00
<input checked="" type="checkbox"/>	Surcharge under 37 C.F.R. §1.16(e) for late filing of filing fee or Declaration:	
<input checked="" type="checkbox"/>	Large Entity \$130.00	\$ 130.00
<input type="checkbox"/>	Small Entity \$ 65.00	\$
TOTAL FEE DUE:		<hr/> \$ 410.00

Method of Payment of Fees

- A check in the amount of the TOTAL FEE DUE is enclosed.
- The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: 12-27-2001 By: 
 Gideon Gimlan
 Reg. No. 31,955
 Telephone (408) 748-7300

FLIESLER DUBB MEYER & LOVEJOY LLP
 Four Embarcadero Center, Fourth Floor
 San Francisco, California 94111-4156
 Telephone (415) 362-3800



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): DAVID GRAWROCK et al.

SC/Serial No.: 09/934,926

Confirm. No.: 8871

Filed: August 21, 2001

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PROTECTED BY SMART-LOCKING AND/OR
BUBBLE-PROTECTION**

PATENT APPLICATION

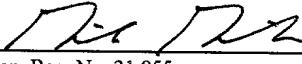
Art Unit: 2131

Examiner: Unknown

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Official Draftsman, Commissioner for Patents, Washington, DC 20231**, on December 27, 2001.


Gideon Gimlan, Reg. No. 31,955
Signature Date: December 27, 2001

(Attorney Signature)

SUBMISSION OF FORMAL DRAWINGS TRANSMITTAL LETTER

Official Draftsman
Commissioner for Patents
Washington, DC 20231

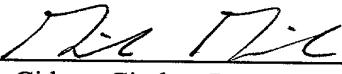
Sir:

Enclosed are 19 sheets of formal drawings for the above-identified patent application as required by the Notice to File Missing Parts dated 10/04/2001.

Subject to the Examiner's approval, please substitute the enclosed drawings for the drawings previously submitted.

Respectfully submitted,

Date: 12 - 27 - 01

By: 

Gideon Gimlan, Reg. No. 31,955
Telephone (408) 748-7300

FLIESLER DUBB MEYER & LOVEJOY LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
Telephone (415) 362-3800



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/934,926	08/21/2001	David Grawrock	SYMA-01045US0

DEC 21 2001
OPIE JCIS
PATENT & TRADEMARK OFFICE

Gideon Gimlan, Esq.
FLIESLER, DUBB, MEYER & LOVEJOY LLP
Four Embarcadero Center, Suite 400
San Francisco, CA 94111-4156

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OCT 11 2001

FLIESLER DUBB,
MEYER & LOVEJOY

CONFIRMATION NO. 8871

FORMALITIES LETTER



OC000000006850410

Date Mailed: 10/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/04/2002 SDIRETAA1 00000045 09934926

01 FCM05

130.00 0P

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Sandy

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE